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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,818	04/17/2001	Kelvin G.M. Brockbank	105452

CONFIRMATION NO. 5532

## FORMALITIES LETTER



\*OC000000006164922\*

OLIFF & BERRIDGE, PLC  
P.O. BOX 19928  
ALEXANDRIA, VA 22320



Date Mailed: 06/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/10/2001 EABUBAK1 00000080 09835818

01 FC:201  
02 FC:205

355.00 OP  
65.00 OP

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**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kelvin G.M. BROCKBANK et al.

Attn: Applications Branch

Application No.: 09/835,818

Docket No.: 105452

Filed: April 17, 2001

For: CYCLOHEXANEDIOL CRYOPROTECTANT COMPOUNDS



**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, filing date granted, mailed June 8, 2001 (copy attached), attached hereto is an Assertion of Small Entity Status re-confirming the status as a small entity and submitted herewith is payment of the filing fee of \$355, together with the late filing surcharge of \$65 (\$420 total) (Check No. 122438).

Entry of these documents should complete all of the filing formalities. Accordingly, prompt examination and allowance of this application are respectfully solicited.

Respectfully submitted,

William P. Berridge  
Registration No. 30,024

Christopher W. Brown  
Registration No. 38,025

WPB:CWB/rxg

Date: September 7, 2001

**OLIFF & BERRIDGE, PLC**  
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<p><b>DEPOSIT ACCOUNT USE AUTHORIZATION</b> Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
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**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kelvin G.M. BROCKBANK et al.

Application No.: 09/835,818

Filed: April 17, 2001

Docket No.: 105452

For: CYCLOHEXANEDIOL CRYOPROTECTANT COMPOUNDS

**ASSERTION OF SMALL ENTITY STATUS**Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.27(c), entitlement to Small Entity Status is hereby asserted. Accordingly, it is respectfully requested that the Patent Office records be marked to indicate that Applicants are entitled to Small Entity Status, and that Small Entity fees be accepted henceforth in this patent application.

Respectfully submitted,

William P. Berridge  
Registration No. 30,024Christopher W. Brown  
Registration No. 38,025

WPB:CWB/rxg

Date: September 7, 2001

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